

GENERAL INFORMATION (Cont'd)

- In certain instances, the committee can determine that no decision is possible due to insufficient available evidence. This determination does not necessarily indicate an approval or disapproval of the treatment that was rendered.
- All monetary awards are handled through NJDA's Peer Review Escrow Account. In cases where monies are to be returned to the patient, NJDA will obtain a signed release form, which will be subsequently sent to the dentist.
- Committees cannot request monies be paid for future treatment or for pain and suffering.
- Peer review will not review cases that involve fee disputes or the appropriateness of fees.
- The contents of all peer review cases are kept in the strictest of confidence.

This pamphlet is intended to provide general information regarding the Peer Review System and its procedures, but is not all-inclusive. Inquiries may be directed to NJDA.

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A GUIDE TO PEER REVIEW

An overview of the process,
system and procedures



ABOUT PEER REVIEW

The New Jersey Dental Association Peer Review System was adopted by NJDA's House of Delegates in 1974. It was designed to provide a service to the public and profession by speedily and fairly resolving disputes.

Peer Review is an alternative dispute resolution mechanism which addresses differences that may arise between a patient and dentist or a dentist and a third party payer. Special committees of dentists, who are selected because of their objectivity, volunteer their services to deal impartially with two specific areas only:

- **Appropriateness of care:** Was the treatment necessary?
- **Quality of treatment:** Did the treatment fall within parameters of acceptable care?

Participation in peer review is voluntary. Each party must sign a consent form, indicating his/her willingness to cooperate with the committee and accept its decision as binding and enforceable under New Jersey law. By signing the consent form, both parties waive their rights to have the dispute settled in a court of law. Each party receives a copy of the other's signed consent form.

STRUCTURE OF THE PEER REVIEW SYSTEM

NJDA's Council on Peer Review is responsible for oversight and administration of the system, while local peer review committees conduct the actual review. The council is composed of a chairman and one member from each of the twelve component dental societies, as well as each of the specialty societies. The council's duties include setting policy standards for the individual peer review committees and hearing appeals of the committee decisions. Administrative duties involved with each case are performed by the council staff at the NJDA headquarters.

Each component society has a peer review committee to review cases involving general dentists in its component area. Disputes concerning specialists are referred to the appropriate committee.

PEER REVIEW PROCEDURES

REQUESTING MEDIATION

When a Request for Mediation is received by council staff at NJDA, a case number is assigned and necessary preliminary information is compiled, including the signed consent forms.

The case is then forwarded to the appropriate peer review committee chairman who may decide that mediation is appropriate. If that is the case, the appointed mediator contacts all parties and attempts to reconcile their differences. In a mediation, the patient is not the examined nor is any conclusion reached as to the validity of the complaint.

IF MEDIATION IS UNSUCCESSFUL

If mediation is not successful, the mediator informs the chairman that action by the entire peer review committee is warranted. The chairman then appoints at least three members of the committee to review all aspects of the case for a hearing. A hearing may be either the "summary" or "plenary" type. In the summary hearing, the committee will consider only records and other written information that has been gathered. In the plenary hearing, the party initiating the complaint and the party against whom the complaint has been lodged are given the opportunity to attend the hearing and present testimony. The patient may be examined by the committee at this time.

The committee then will meet separately to discuss its findings and prepare a final report. The report is sent to council staff at NJDA, who will summarize the committee's decision and forward it to both parties.

ONCE A DECISION IS REACHED

When the committee finds in favor of the dentist, the patient and/or carrier will be directed to pay any outstanding balance owed to the dentist. When the committee finds in favor of a patient or insurance carrier, the dentist may be directed to adjust or redo the treatment, provided that the patient is willing to return. If not, the committee may direct the dentist to return all or a portion of the fees paid for the treatment in question. In no event will the amount of refund be greater than the total fees paid.

IF YOU WOULD LIKE TO APPEAL

Either party may appeal the committee's decision within 30 days of receipt of the decision letter. An appeal must be based on one of the following criteria:

- Proper procedure was not followed.
- New material information is available which was not previously considered.
- The decision runs counter to the evidence and testimony presented.

Appeals of decisions that direct either the return of fees or the payment of an outstanding balance must be accompanied by a certified check, payable to NJDA in the amount of the decision. These funds will be held in escrow until after the appeal has been heard or request for appeal denied. Appeal requests are reviewed by a subcommittee of the Council on Peer Review. If the subcommittee decides an appeal is warranted, it has the option of remanding the case to the original committee for the further consideration, appointing a new committee, or referring the case to the Council.

GENERAL INFORMATION

- Peer Review will not accept cases if legal action is in process or if a complaint has already been submitted to the New Jersey State Board of Dentistry.
- Attorneys may not represent either party in the peer review process.
- Peer review is available to all New Jersey dentists, whether or not they are members of NJDA, as long as the request is initiated by a patient.
- Only NJDA members may submit disputes with an insurance carrier.
- The committee may terminate a review if either party does not cooperate fully. Non-cooperation includes but is not limited to a patient's failure to appear at a scheduled clinical examination, or a dentist's failure to forward requested records on a timely basis.

HOW HAVE YOU TRIED TO RESOLVE THE PROBLEM(S) WITH THE DENTIST?

WHAT OUTCOME DO YOU HOPE TO SEE FROM THE PATIENT MEDIATION PROCESS?

In order that a complete review be performed, I authorize the release, to this committee, of any dental records or information by anyone who has examined me previously. I further give my permission for the committee to perform a clinical examination if necessary. I understand that the committee's examination, if performed, will be limited to an evaluation of the treatment in question and does not constitute a complete dental examination.

CONSENT (Your Signature) _____ TODAY'S DATE _____

I agree that all the above information was completed accurately.

Thank you for addressing your concerns to the New Jersey Dental Association. A member of our NJDA team will be in touch with you once your complaint has been evaluated. If you have any questions, please call the NJDA at 732-821-9400.